

# Our work in March 2023

# Monthly approaches overview

1 March 2023 to 31 March 2023



Please note: our data is subject to change due to ongoing investigations and quality assurance activities. If you have a question about our data, please contact us on 1300 795 265 or by email via complaints@nhpo.gov.au.

199

approaches received



Up from 122 in March 2022



enquiries received

Ombudsman complaints received

Up from 45 in March 2022

58



FOI matters received

Down from 2 in March 2022





Down from 2 in March 2022

complaint received





Up from 73 in March 2022



Ombudsman complaints were made by 47 individuals (up from 43 in March 2022)



FOI review decisions published



privacy

notifiable data breach assessed (0 in March 2022)

## Complaints to the Ombudsman



early resolution transfers made

Up from 7 in March 2022



preliminary inquiries made

Up from 5 in March 2022



investigations launched

Down from 6 in March 2022





Ombudsman complaints finalised



Up from 61 in March 2022

Stage complaint was finalised

assessment

early resolution transfer

preliminary inquiry

investigation

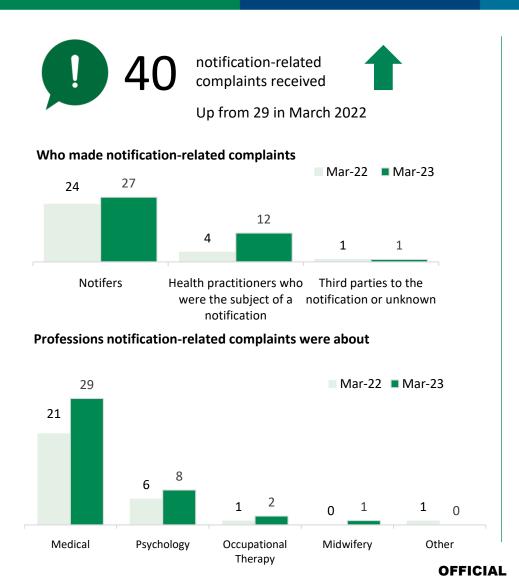


## Notification-related complaints to the Ombudsman

### 1 March 2023 to 31 March 2023



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# Type of notifications action that most frequently drove notification-related complaints

- 1. Active notification
- 2. No further action taken at the assessment stage
- 3. No further action taken where stage is unknown
- 4. Action and stage are not yet known
- 5. Action taken at the investigation stage

#### Stage notification-related complaints were finalised

18 assessment

**14** <sub>e</sub>

early resolution transfer

 $10\,$  preliminary inquiry

4 investi

#### Most common outcomes of notification-related complaints

- 1. The organisation's response to the complaint was fair and reasonable
- 2. Matter complained about was still active with the organisation
- 3. Investigation was not warranted in the circumstances
- 4. Complaint was about the merits of the organisation's decision
- 5. The complainant did not provide requested information to the NHPO

## Registration-related complaints to the Ombudsman

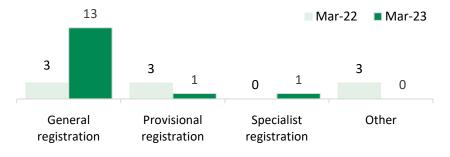
#### 1 March 2023 to 31 March 2023



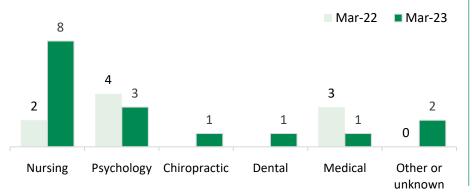
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#### Types of registration driving registration-related complaints



#### Professions registration-related complaints were about



#### Registration processes that most frequently drove registrationrelated complaints

- Application of the English Language Skills Registration Standard
- 2. Processing of a new application for registration
- 3. Review of conditions attached to a practitioner's registration
- 4. Assessment of an international qualification
- 5. Third party unable to access their preferred practitioner due to registration issue

#### Stage registration-related complaints were finalised

13 assessment
0 preliminary inquiry
1 investigation

#### Most common outcomes of registration-related complaints

- 1. Investigation not warranted by the circumstances
- 2. The organisation's response to the complaint was fair and reasonable
- 3. Complainant did not provide requested information to the NHPO
- 4. Complaint related to merits of the organisation's decision
- 5. Complaint was resolved via mutual agreement between the organisation and the complainant



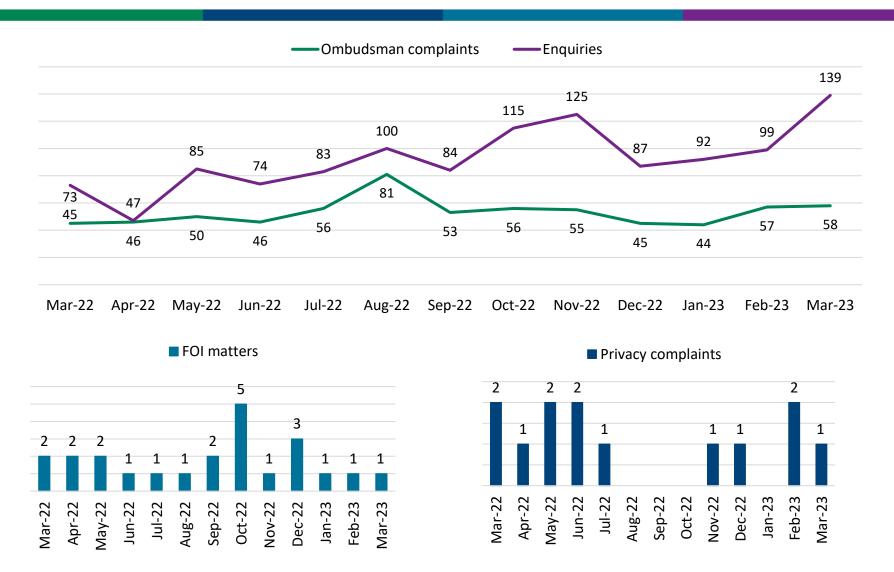
# Our year to date

1 July 2022 to 31 March 2023

# Approach trends

1 July 2022 to 31 March 2023





# Ombudsman complaints received from 1 July 2022 to 31 March 2023



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#### Types of complaints received

notification

Up from 238 in the same period last financial year

125

registration

Up from 103 in the same period last financial year

**Pandemic** policy/ response

Other types

#### Who made complaints

285

220

health practitioners Nonpractitioners

Up from 218 in the same period last financial year Down from 465 in the same period last financial year

#### Professions most complaints were about

medical

Down from

501 in the

year

66

**Nursing** 

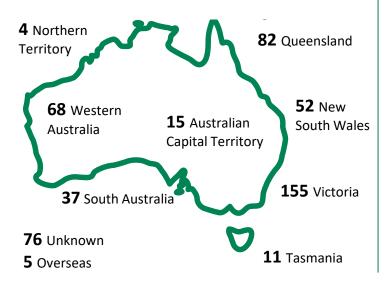
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psychology

same period last financial

Up from 52 in the same period last financial year Up from 43 in the same period last financial year

#### Location the complaints were made from



#### Most common notification-related issues that drove complaints

- 1. Notifier believes the decision to take no further action at the assessment stage is unfair or unreasonable
- 2. Notifier believes an active notification has been unreasonably delayed
- 3. Practitioner believes an active notification has been unreasonably delayed

#### Most common registration-related issues that drove complaints

- 1. Practitioner seeking general registration believes the English Language Skills Registration Standard has been applied unfairly
- 2. Practitioner seeking general registration believes the assessment of an international qualification has been unreasonably delayed
- 3. Practitioner seeking general registration believes the process for meeting the English Language Skills Registration Standard is unfair

# Resolution of Ombudsman complaints from 1 July 2022 to 31 March 2023



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## Early resolution mechanisms





100



complaints finalised at the assessment stage

complaints finalised at the preliminary inquiry stage

complaints finalised at the early resolution transfer stage

Down from 507 in the same period last financial year





Up from 77 in the same period last financial year



Most common outcomes at early resolution stages (assessment, preliminary inquiry and early resolution transfer)

year

- Investigation was not warranted in the circumstances
- The organisation's response to the complaint was fair and reasonable
- Matter was still active with the organisation
- 4. Complainant did not provide requested information
- 5. Investigation declined because the complaint was about the merits of the organisation's decision

## Investigations



complaints finalised at the investigation stage



Down from 87 in the same period last financial year

#### Most common investigation outcomes

- 1. We provided feedback to the organisation
- 2. We provided a further explanation to the complainant
- 3. The organisation agreed to assess new material
- 4. Apology or acknowledgement was provided by the organisation
- 5. We facilitated contact between the complainant and organisation

## Ombudsman and Commissioner's observations

March 2023 was one of our busiest months on record, with 199 approaches received. This is a 63 per cent increase in approaches compared with the same time last year.

Much of the growth we experienced during March 2023 was due to receiving a large number of enquiries. We received 40 per cent more enquiries during March 2023 than we did in February 2023, and 90 per cent more than at the same time last year. Of the enquiries we received, 66 per cent resulted in us referring the person to Ahpra or to a health complaints entity to raise concerns about a health practitioner or a health service.

In March 2023 Ombudsman complaint levels were similar to what they were in February 2023, but were 29 per cent more higher than they were a year ago. Although the reduction in complaints relating to the COVID-19 pandemic creates an initial impression that we have received fewer complaints to the Ombudsman, notification-related complaints this year to date have increased by 36 per cent since last year. Similarly, registration-related complaints to the Ombudsman are 21 per cent higher.

Health practitioners continue to raise concerns with my office in unprecedented numbers. Complaints from health practitioners have increased by 31 per cent compared with the same period last year, accounting for 56 per cent of all Ombudsman complaints received so far this financial year.

Despite being a busy month, my team continued to deliver an efficient and effective complaint-handling service to health practitioners and the public. We initiated 24 early resolution transfers, which is significantly more than the number of transfers made both last month (15) and in March 2023 (7). We closed 74 per cent more Ombudsman complaints than we did in February 2023, with 92 per cent of those closures achieved via early resolution techniques. We also finalised five investigations. Four of these investigations resulted in Ahpra agreeing to assess new material about a notification and one matter involved my office providing informal feedback to Ahpra about the timely management of registration applications.