

# Our work in September 2023

## Monthly approaches overview

#### 1 September 2023 to 30 September 2023



Please note: our data is subject to change due to ongoing investigations and quality assurance activities. If you have a question about our data, please contact us on 1300 795 265 or by email via complaints@nhpo.gov.au.

148

approaches received



Up from 141 in September 2022



95

enquiries received



Up from 84 in September 2022 49



Ombudsman complaints received

Down from 53 in September 2022



Ombudsman complaints were made by 39 individuals (down from 48 in September 2022) 3





Up from 2 in September 2022



FOI review decisions published



privacy complaint received







0

notifiable data breaches assessed (Down from 2 in September 2022)

## Complaints to the Ombudsman

14 <del>=</del>

early resolution transfers made

Down from 16 in September 2022

16

preliminary inquiries made

Up from 12 in September 2022 1



investigations launched

Up from 0 in September 2022



46

Ombudsman complaints finalised



Down from 55 in September 2022

#### Stage complaint was finalised

26

assessment

14

preliminary inquiry

6

early resolution transfer

0

investigation

## Notification-related complaints to the Ombudsman



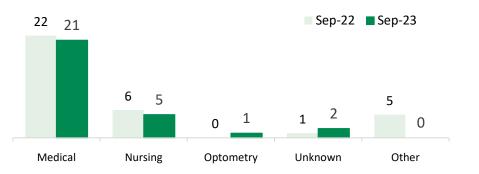
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#### Professions notification-related complaints were about



## Type of notifications action that most frequently drove notification-related complaints

- 1. No further action taken at the assessment stage
- 2. Active notification about a practitioner
- 3. Outcome and stage of the notification decision is unknown
- 4. Action taken at the investigation stage
- 5. Action taken to refer a practitioner to a Panel or Tribunal

#### Stage notification-related complaints were finalised

#### Most common outcomes of notification-related complaints

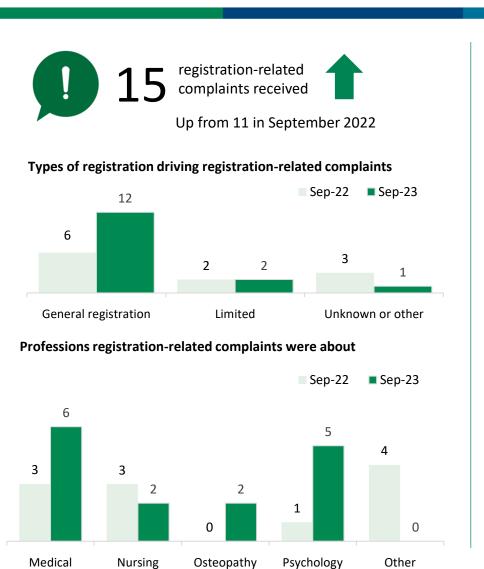
- 1. The organisation's response to the complaint was fair and reasonable
- 2. Complainant has not made a direct complaint to the organisation about their concerns
- 3. Matter declined as it related to the merits of the organisation's decision
- 4. The complainant did not provide the NHPO with requested information
- 5. Feedback was provided to the organisation

## Registration-related complaints to the Ombudsman

### 1 September 2023 to 30 September 2023



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#### Registration processes that most frequently drove registrationrelated complaints

- 1. Transitioning from one registration type to another
- 2. Supervision requirements on a practitioner's registration
- 3. Application of the English Language Skills Registration Standard
- 4. Endorsement of a practioner's registration
- 5. Assessment of a practitioner's health or performance

#### Stage registration-related complaints were finalised

 $10^{\circ}$  assessment  $2^{\circ}$  early resolution t

 $\mathbf{2}$  preliminary inquiry  $\mathbf{0}$  investigation

#### Most common outcomes of registration-related complaints

- The complainant did not provide the NHPO with requested information
- 2. Investigation was not warranted in the circumstances
- 3. The organisation's response to the complaint was fair and reasonable
- 4. The complainant decided to withdraw their complaint
- The complainant is anonymous and could not be contacted

## Accreditation-related complaints to the Ombudsman



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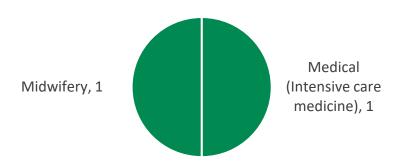
2

accreditation-related complaints received

#### Who made accreditation-related complaints



## Professions and specialisations that accreditation-related complaints were about



## Processes that most frequently drove accreditation-related complaints

- 1. Delivery of an exam
- 2. Handling of bullying or harassment concerns at a training site
- 3. Other issues relating to an exam

#### Stage accreditation-related complaints were finalised

3 assessment
0 preliminary inquiry
1 early resolution transfer
0 investigation

#### Most common outcomes of accreditation-related complaints

- 1. The organisation's response to the complaint was fair and reasonable
- 2. The complainant has an active complaint with the organisation they have complained about
- 3. The matter remains active with the organisation
- 4. The NHPO has previously considered the same concerns



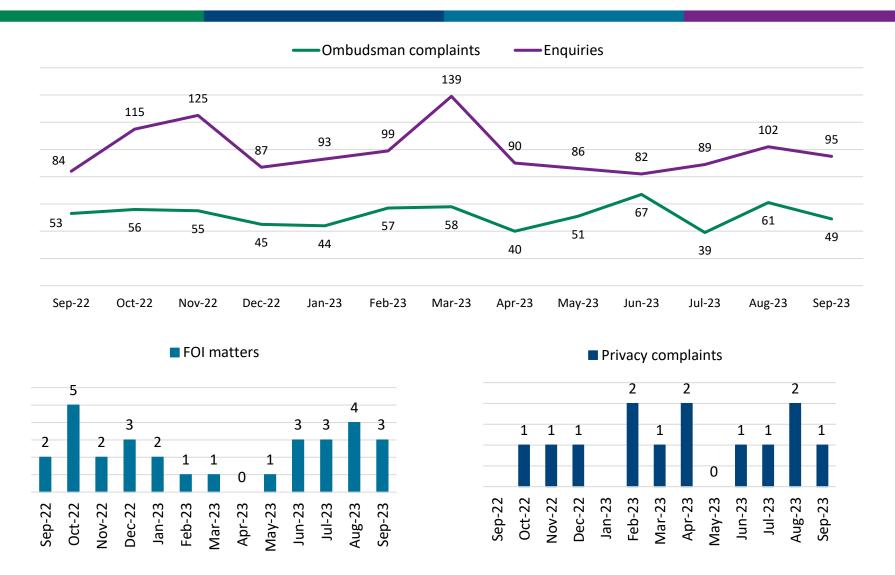
# Our year to date

1 July 2023 to 30 September 2023

## Approach trends

1 July 2022 to 30 September 2023





# Ombudsman complaints received from 1 July 2023 to 30 September 2023

year



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#### Types of complaints received

93

notification

31

Down from 37 in the same period last financial year

Down from

129 in the

same period

last financial

vear

14

registration

11

accreditation Other types

#### Who made complaints

87

62

health practitioners

Nonpractitioners

Up from 85 in the same period last financial year Down from 105 in the same period last financial year

#### **Professions most complaints were about**

19 94 10 medical nursing psychology Down from Down from Down from 118 in the 20 in the 16 in the same period same period same period last financial last financial last financial

vear

vear

#### Location the complaints were made from



## Most common notification-related issues that drove complaints

- Notifier believes a decision to take no further action at the assessment stage was unfair or unreasonable
- 2. Notifier believes inadequate steps were taken before a decision to take no further action was made at the assessment stage
- Practitioner believes a decision has been made following an investigation without all evidence being considered

## Most common registration-related issues that drove complaints

- 1. Practitioner seeking general registration believes the process for transitioning from one registration type to another is unfair
- 2. Practitioner seeking general registration believes a decision made about their transition from one registration type to another is unfair or unreasonable
- Practitioner seeking general registration believes the fees they have been charged for registration are unfair or unreasonable

## Resolution of Ombudsman complaints from 1 July 2022 to 30 September 2023



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complaints finalised

at the early resolution

## Early resolution mechanisms





complaints finalised

at the preliminary



complaints finalised at the

assessment stage

Down from 111 in the same period last financial year



Down from 32 in the same period last financial year

inquiry stage



Down from 35 in the same period last financial year

transfer stage



Most common outcomes at early resolution stages (assessment, preliminary inquiry and early resolution transfer)

- Investigation was not warranted in the circumstances
- The organisation's response to the complaint was fair and reasonable
- The complainant did not provide information the NHPO had requested
- 4. The complaint concerned the merits of the organisation's decision
- The NHPO has previously considered the concerns raised by the Complainant

## Investigations



complaints finalised at the investigation stage



Down from 8 in the same period last financial year

#### Most common investigation outcomes

- 1. We provided feedback to the organisation being complained about
- 2. Apology or acknowledgement was provided by the organisation being complained about
- 3. Formal comments or suggestions were provided to the organisation

## Ombudsman and Commissioner's observations

My office received 148 approaches during September 2023, which is slightly higher than the number received in September 2022 (141).

The number of complaints to the Ombudsman remained relatively consistent with September 2022 (49, down from 53). However, the total number of complaints to the Ombudsman received so far this financial year is considerably lower than that received during the same period last financial year (149, down from 190). This is mostly due to a reduction in the number of notification-related complaints (36 fewer notification-related complaints). Despite this reduction, overall trends for complaints to the Ombudsman remain consistent; complaints about the handling of notifications, complaints relating to the medical profession, and complaints from Victoria remain the dominant features of complaints received over the past three months.

This month we initiated 14 early resolution transfers and made preliminary inquiries regarding 16 complaints to the Ombudsman. We also commenced a new investigation into a complaint to the Ombudsman, and another investigation into a privacy complaint to the Commissioner.

We finalised 46 complaints to the Ombudsman in September 2023, all without a formal investigation. This brings the total number of approaches finalised so far this financial year to 458, including 286 enquiries, 158 complaints to the Ombudsman, 8 FOI review applications, and 5 privacy complaints to the Commissioner.